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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.: 10/816,228

Applicant(s): Chaves, et al.

Filed: March 31, 2004

Title: OPTICAL DEVICE FOR LED-BASED LAMP

Examiner: TSO, Laura K.

Art Unit: 2872

Customer No.: 26375

Confirm. No.: 7326

Certificate of Transmission/Mailing

I hereby certify that this correspondence is being facsimile transmitted to the USPTO, Fax. No. (571) 273-8300, or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

7-27-05

Date

Steven M. Freeland

Registration No. 42,555

Attorney for Applicant(s)

PRELIMINARY AMENDMENT

Mail Stop AMENDMENT
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Please amend the application as follows: